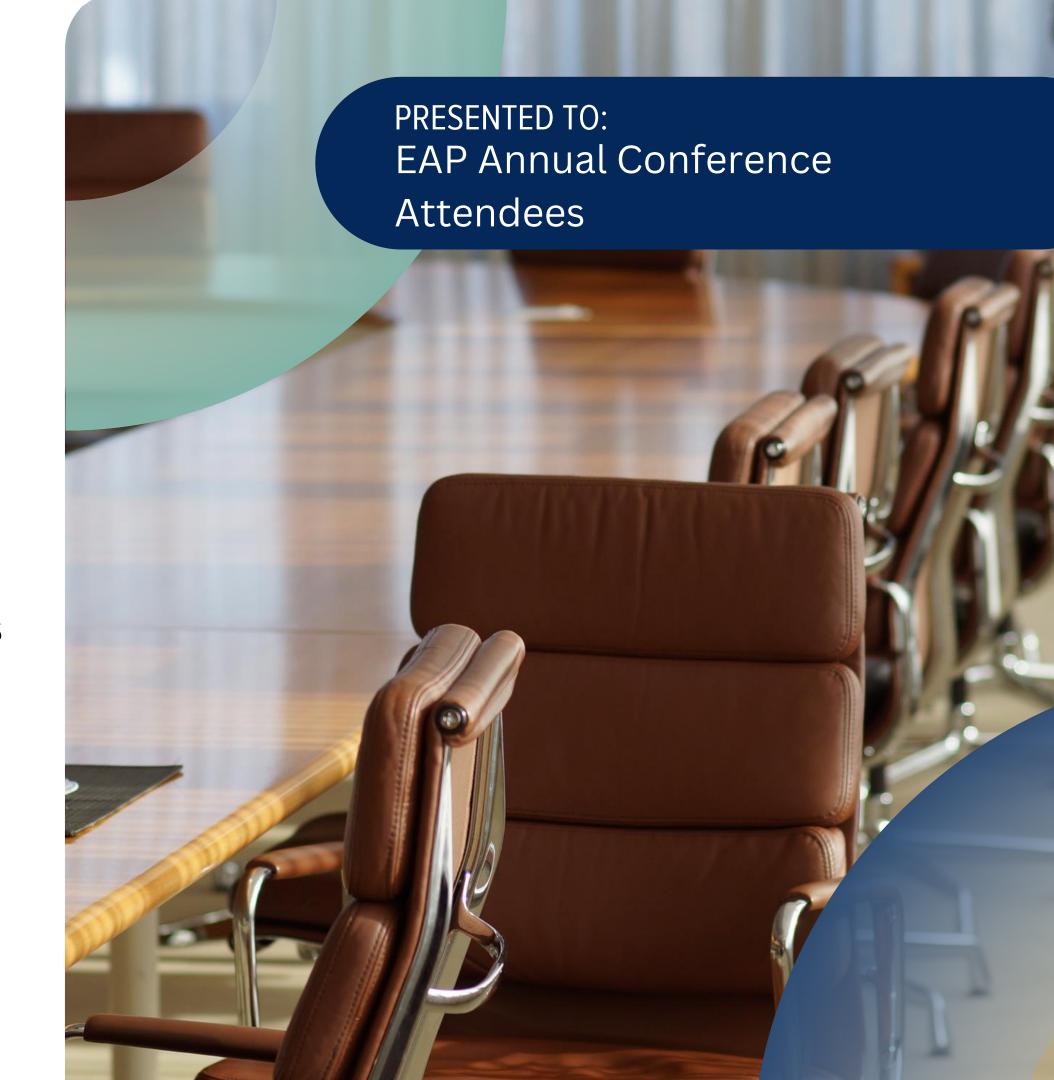
Professional Ethics

Guiding Principals for Workplace Decisions

Dr. Jonelle McCollough

EEO Director of Alabama Dept. of Revenue











Morality

Morality is a **system of principles** concerning the distinction between right and wrong or good and bad in human conduct, often involving societal norms, cultural values, and individual beliefs.

Consequentialist reasoning

 locates morality in the consequences of an act

Categorical reasoning

 locates morality in certain duties and rights



Moral Principles

Moral principles are **fundamental beliefs or values** about what is right and wrong that **guide an individual's** decisions and actions, serving as a framework for ethical behavior and decision-making in complex situations.

- Non-maleficence "do no harm"
- Beneficence "do good"
- Respect for Autonomy "freedom"
- Justice "impartiality"
- Fairness "Equitability"



Ethics

A **set of rules** or a system of principles established by an **external source**, such as a professional organization, a religion, or a society.

- Integrity
- Confidentiality
- Objectivity
- Respect
- Professional Competence
- Accountability



Professional Ethics

Professional ethics are the moral principles that govern behavior within a particular profession. These principles guide professionals in making decisions that are:

Fair

Honest

Responsible

They establish a standard of conduct expected of individuals in their respective fields.



Ethics V. Morality

Ethics

- * Formal Set of Rules
- * Professional Fields
- * Laws
- * Theoretical Approach
- * Rationale
- * Code of Conduct
- * Societal Structures

Morality

Right and Wrong

Human Behavior

Decision Making

Fairness

Justice

Guided by

Principles

* Personal Beliefs

* Individualistic

* Shaped by Culture

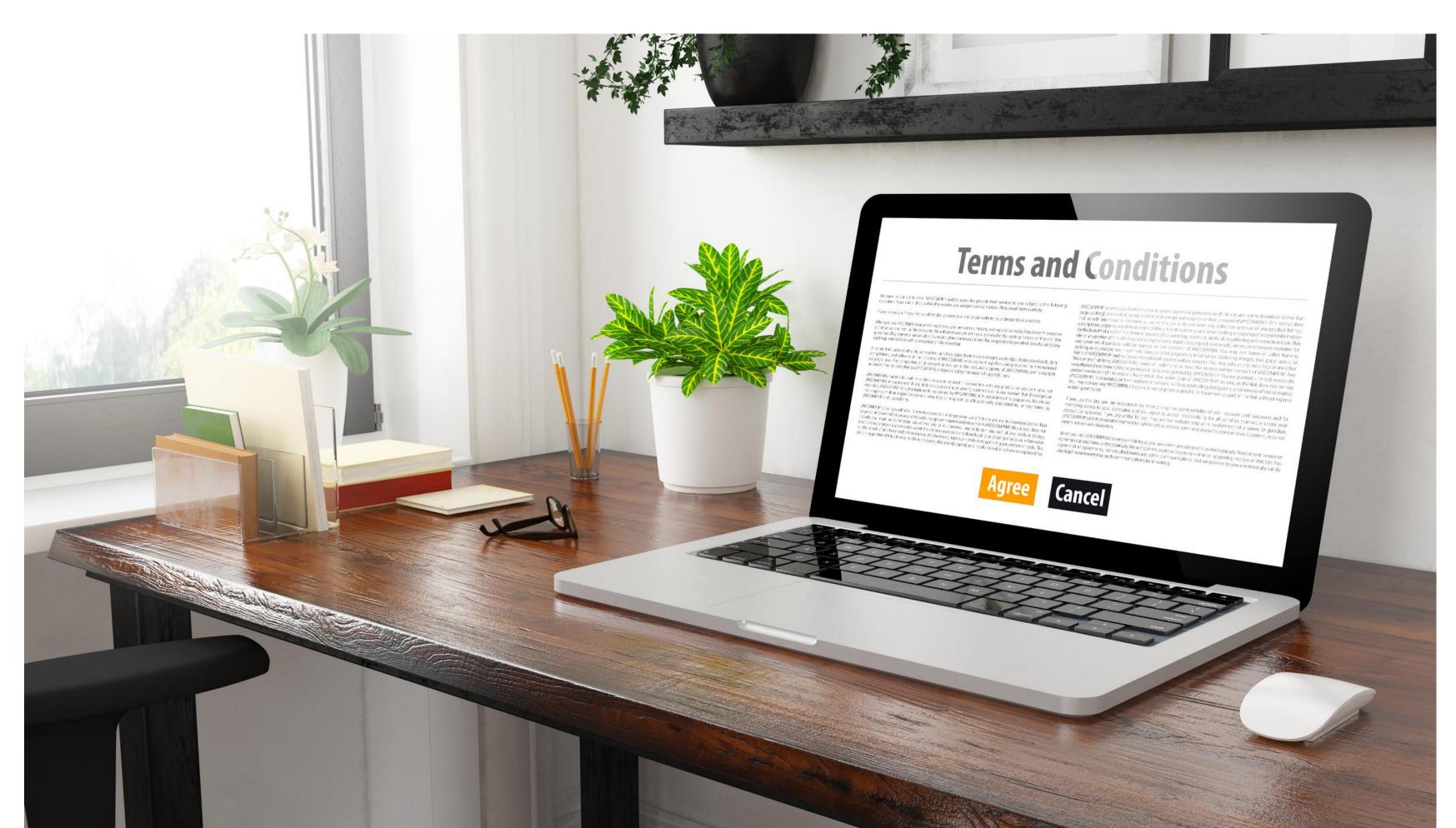
* Personal Experiences

* Values and Virtues

* Internal Conscience

* Societal Norms

Let's Be Honest...



Ethical Dilemma



Takeaways?



Ethical Crossroads

Ethical Dilemmas in the Workplace

Scenario: Your agency's new processing method is anticipated to launch next week and could bring huge profits, but preliminary testing shows potential safety concerns that aren't legally required to disclose.

Option A: Move forward with launch. Address issues only if problems arise.

Consequence:
Maximum profit,
potential harm,
possible future
liability

Option B: Delay launch to conduct additional testing and make improvements.

Consequence:

Short-term financial hit, better product, protected reputation

Common Ethical Dilemmas



Conflicts of interest

When personal gain conflicts with professional obligations



Misuse of company resources

Using company assets for personal benefit



Inappropriate relationships

Romantic or financial entanglements that compromise objectivity



Confidentiality Breaches

Sharing protected information inappropriately

Why Ethics Matter in the Workplace

Professional ethics are crucial for a healthy workplace culture.



Builds Trust



Promotes Fairness



Enhances Reputation



STARTS WITH A SMALL ACTION: "JUST THIS ONCE"

Upholding truthfulness fosters trust and strong relationships among colleagues.

NORMALIZATION

The exception becomes routine and justifiable

ESCALATES OVER TIME

The exceptions spread across work environment.

FULL COMPROMISE

Values completely abandoned; rationalization complete

The Gray Areas



NOT ALL DECISIONS ARE BLACK AND WHITE

Upholding truthfulness fosters trust and strong relationships among colleagues.

SITUATIONAL ETHICS AND COMPETING VALUES

Treating everyone equally and respectfully promotes a positive work environment.

WHEN VALUES CLASH

- Honesty vs. kindness
- Loyalty vs. integrity
- Personal benefit vs. collective good
- Short-term gains vs. long-term consequences



The Cost of Unethical Behavior



Reputational Damage

Takes years to build, seconds to destroy. Social media amplifies ethical failures.



Job Loss

58% of employees fired for ethical violations struggle to find equivalent employment.

The Cost of Unethical Behavior



Legal Consequences

Fines, sanctions, and potential criminal charges for serious violations.

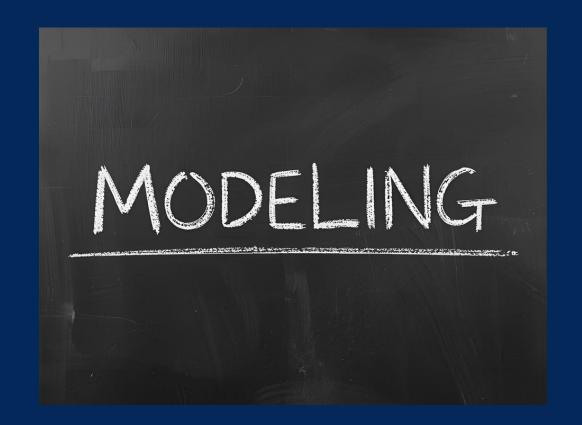


Lost Trust

Customers, employees, and stakeholders all withdraw support when trust is broken.

The Role of Leadership in Ethics

Leaders set the ethical temperature of an organization through their actions, not just their words.



Leaders must demonstrate ethical behavior in all decisions, especially when difficult



Consistent enforcement of standards regardless of position or performance



Open communication about ethical standards and consequences

Ethics and Company Policy



Code of Conduct

The formal ethical framework that defines acceptable behavior

- Clearly written guidelines
- Regularly updated
- Easily accessible



Conflict of interest disclosures

Proactive identification of potential ethical issues

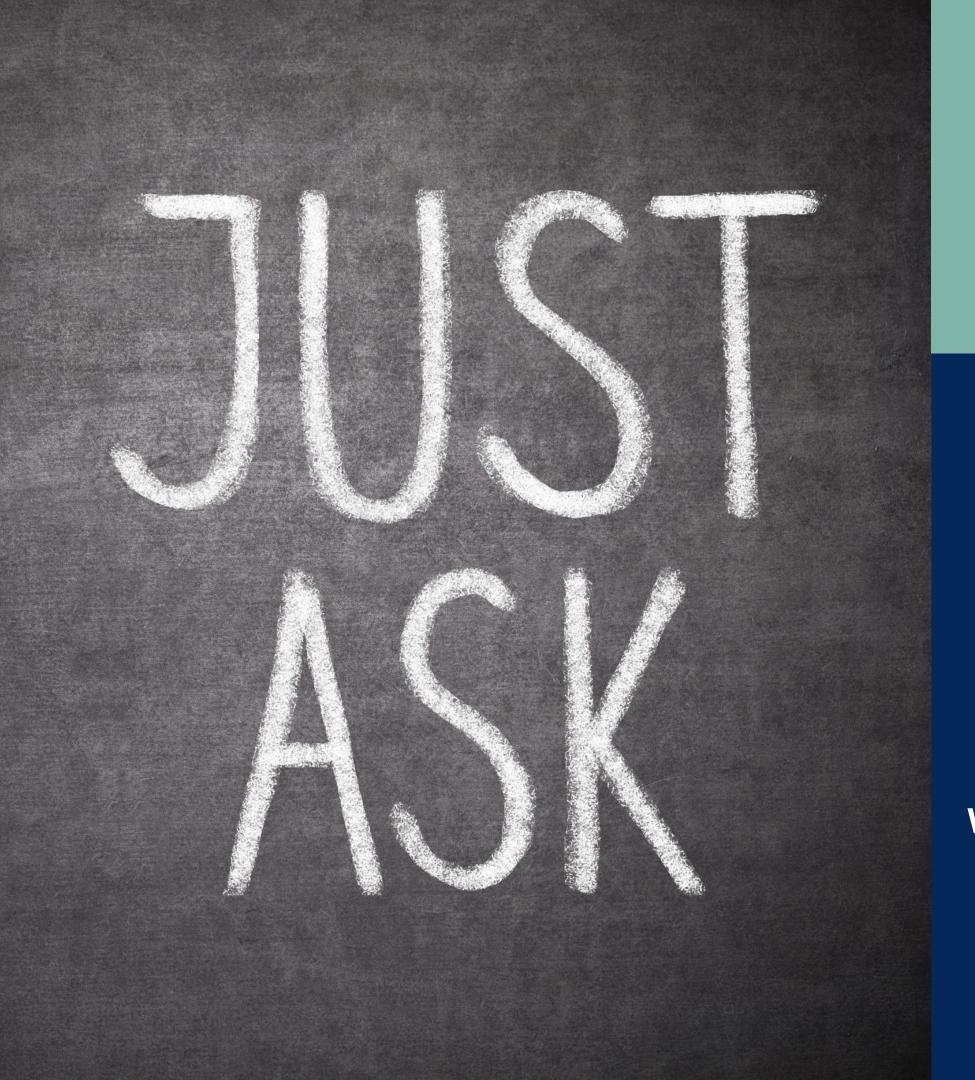
- Annual declarations
- Situational reporting
- Transparent review process



Ethics Infrastructure

Systems that support ethical behavior

- Regular training
- Ethics committees
- Clear investigation procedures



Tools for Ethical Decision-Making

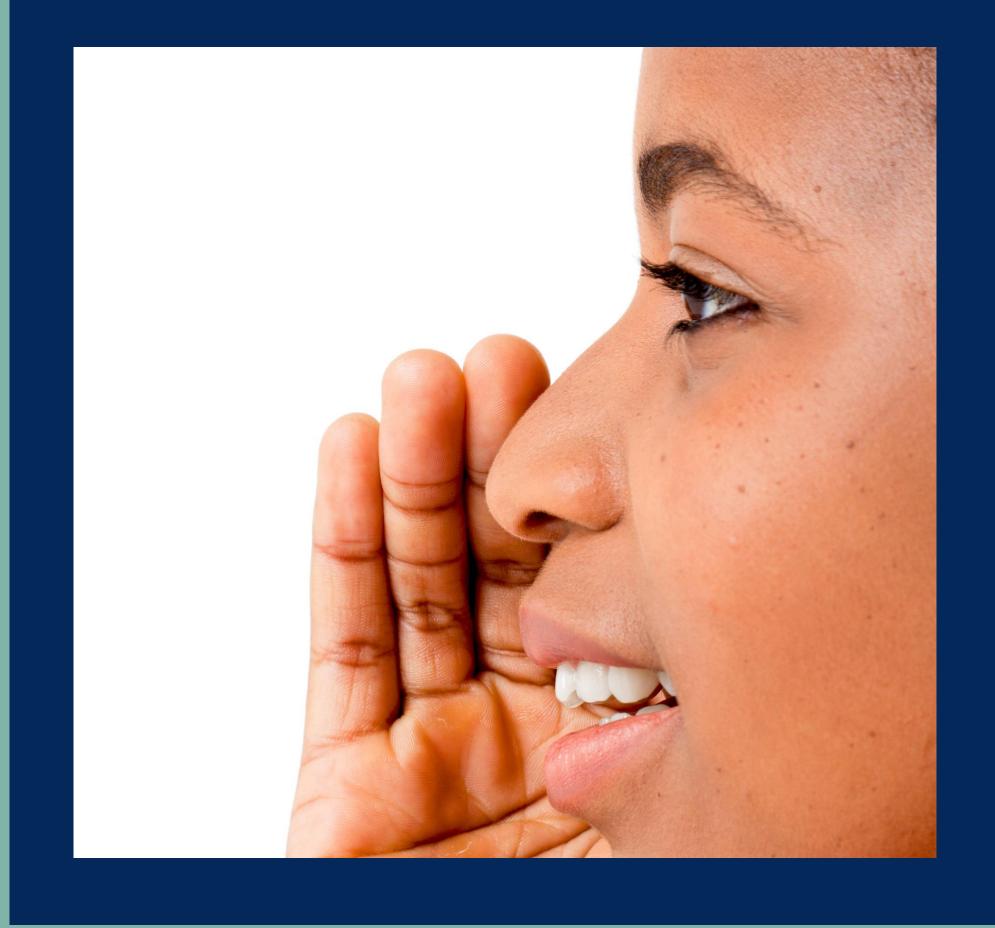
Is it legal? — Does this violate any laws, regulations, or company policies?

Is it fair? — Would this decision be considered equitable by those affected?

Would I be proud? How would I feel if this decision was published on the front page?

Encouraging a Speak-Up Culture

- Psychological safety
- Response to Speaking Up
- Anonymous Options
- Question Culture





How to Handle an Ethical Breach

Report it through proper channels

Protect yourself from retaliation

Document the issue Follow up with HR or compliance





Important: If you witness illegal activity or imminent harm, additional reporting to authorities may be necessary.

Summary

Ethics are everyone's responsibility

Not just leaders or HR—every employee shapes the ethical culture





Be proactive, not reactive

Address potential issues before they become actual problems

Know policies and values

Understand both the rules and the principles behind them



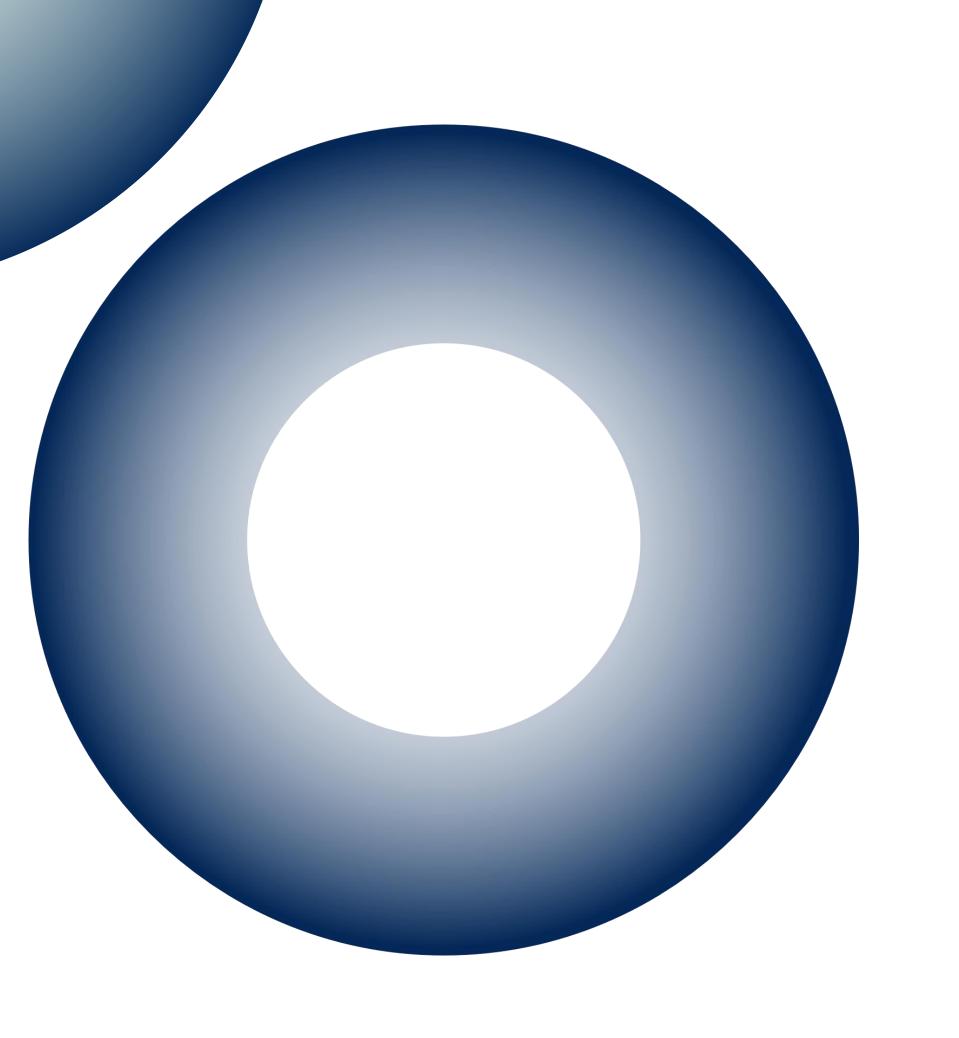


Small actions define trust

Everyday decisions build or erode your ethical reputation



"When was the last time you were at an ethical fork in the road? What did you choose — and why?"



"It takes 20 years to build a reputation and five minutes to ruin it. If you think about that, you'll do things differently."

~ Warren Buffett



THANK YOU



Jonelle McCollough Ph.D.

EMAIL

JONELLE.MCCOLLOUGH@REVENUE.ALABAMA.GOV

PHONE

(334)353-5480

